

3723

TRANSMITTAL LETTER			Case No. 5658/98'6
Serial No. 18,782	Filing Date November 21, 2003	Examiner Unassigned	Group Art Unit 3723
Inventor(s) David P. Engvall et al.			
Title of Invention QUICK ADJUSTING PLIERS			

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is Transmittal Letter (in duplicate); Information Disclosure Statement; PTO 1449 along with thirty-one cited references; Postcard Evidencing Receipt.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.

Applicant claims small entity status. See 37 CFR1.27.

Petition for a \_\_\_\_\_ month extension of time.

No additional fee is required.

The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x 43 =		x \$86 =	
First Presentation of Multiple Dep. Claim					+ \$145 =		+ \$290 =	
					Total add'l fee	\$	Total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

John G. Freeman  
Registration No. 34,438  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson, Leone

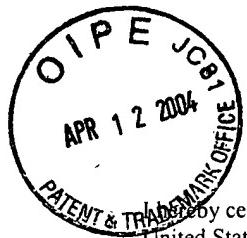
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 9, 2004.

Date: April 9, 2004

Date: April 1, 2004

**Signature:**



### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 9, 2004

Date of Deposit

John C. Freeman, Registration No. 34,483

Name of Applicant, Assignee or  
Registered Representative

Signature

Date of Signature

Our Case No.: 5658/986

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David P. Engvall et al.

Serial No.: 10/718,782

Filing Date: November 21, 2003

For: QUICK ADJUSTING PLIERS

Examiner: Unassigned

Group Art Unit No.: 3723

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record.

## I. DISCLOSURE

### A. U.S. Patents and Patent Application Publications

Patent/Publication No.	Issue/Publication Date	Inventor
2,727,417	12/20/1955	Eifel
3,176,551	04/06/1965	Hansen
4,232,573	11/11/1980	Dace, Jr.
4,296,655	10/27/1981	Tesoro
4,438,669	03/27/1984	Hastings et al.
4,662,252	05/05/1987	Warheit
4,719,827	01/19/1988	Igarashi
4,753,140	06/28/1988	Majima
4,893,530	01/16/1990	Warheit
4,901,609	02/20/1990	Crum
4,922,770	05/08/1990	Dlugolecki et al.
5,060,543	10/29/1991	Warheit
5,351,584	10/04/1994	Warheit
5,660,089	08/26/1997	Chow
5,850,768	12/22/1998	Chow
6,000,303	12/14/1999	Chang
6,019,020	02/01/2000	Liou
6,101,908	08/15/2000	Azkona
6,161,455	12/19/2000	Ping
6,199,458 B1	03/13/2001	Wrigley et al.
6,199,459 B1	03/13/2001	Azkona
6,212,978 B1	04/10/2001	Seber et al.
6,227,081 B1	05/08/2001	Bally et al.
6,279,431 B1	08/28/2001	Seber et al.
6,311,588 B1	11/06/2001	St. John et al.
6,318,217 B1	11/20/2001	Emhardt et al.
6,327,943 B1	12/11/2001	Wrigley et al.
6,378,404 B1	04/30/2002	Bally et al.
6,467,380 B1	10/22/2002	Azkona
US 2002/0139227 A1	10/03/2002	Azkona

**B. Pending U.S. Patent Applications**

<u>Application No.</u>	<u>Inventor</u>	<u>Filing Date</u>
10/406,621	Engvall et al.	04/02/03

**II. CONCLUSION**

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. Furthermore, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record. Applicants also request that the Examiner review the above-identified application and the references cited therein.

Respectfully submitted,



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Dated: April 9, 2004



FORM PTO-1449		SERIAL NO. 10/718,782	CASE NO. 5658/986
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE November 21, 2003	GROUP ART UNIT 3723
APPLICANT(S): David P. Engvall et al.			

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1 2,727,417	12/20/1955	Eifel		
	A2 3,176,551	04/06/1965	Hansen		
	A3 4,232,573	11/11/1980	Dace, Jr.		
	A4 4,296,655	10/27/1981	Tesoro		
	A5 4,438,669	03/27/1984	Hastings et al.		
	A6 4,662,252	05/05/1987	Warheit		
	A7 4,719,827	01/19/1988	Igarashi		
	A8 4,753,140	06/28/1988	Majima		
	A9 4,893,530	01/16/1990	Warheit		
	A10 4,901,609	02/20/1990	Crum		
	A11 4,922,770	05/08/1990	Slugolecki et al.		
	A12 5,060,543	10/29/1991	Warheit		
	A13 5,351,584	10/04/1994	Warheit		
	A14 5,660,089	08/26/1997	Chow		
	A15 5,850,768	12/22/1998	Chow		
	A16 6,000,303	12/14/1999	Chang		
	A17 6,019,020	02/01/2000	Liou		
	A18 6,101,908	08/15/2000	Azkona		
	A19 6,161,455	12/19/2000	Ping		
	A20 6,199,458 B1	03/13/2001	Wrigley et al.		
	A21 6,199,459 B1	03/13/2001	Azkona		
	A22 6,212,978 B1	04/10/2001	Seber et al.		
	A23 6,227,081 B1	05/08/2001	Bally et al.		
	A24 6,279,431 B1	08/28/2001	Seber et al.		
	A25 6,311,588 B1	11/06/2001	St. John et al.		
	A26 6,318,217 B1	11/20/2001	Emhardt et al.		
	A27 6,327,943 B1	12/11/2001	Wrigley et al.		
	A28 6,378,404 B1	04/30/2002	Bally et al.		
	A29 6,467,380 B1	10/22/2002	Azkona		
	A30 US 2002/0139227 A1	10/03/2002	Azkona		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
EXAMINER INITIAL	<b>OTHER ART</b>				
EXAMINER	DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 10/718,782	CASE NO. 5658/986
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> <small>(use several sheets if necessary)</small>	FILING DATE November 21, 2003	GROUP ART UNIT 3723
APPLICANT(S): David P. Engvall et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A31		Pending U.S. Patent Application Serial No. 10/406,621, filed 04/02/03, by Engvall et al.				

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.